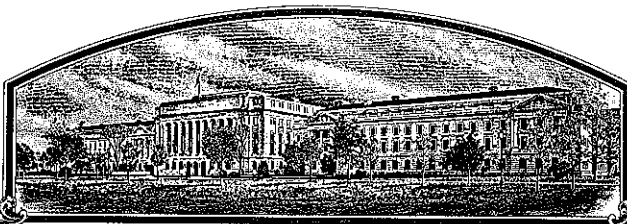


No.

9600050



THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

Agriculture Canada

Whereas, THERE HAS BEEN PRESENTED TO THE

Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED DISTINCT VARIETY OF SEXUALLY REPRODUCED, OR TUBER PROPAGATED, PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW:

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF TWENTY YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC REPLENISHMENT OF VIABLE BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITORY AS PROVIDED BY LAW, THE RIGHT TO EXCLUDE OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, OR IMPORTING IT, OR EXPORTING IT, OR CONDITIONING IT FOR PROPAGATION, OR STOCKING IT FOR ANY OF THE ABOVE PURPOSES, OR USING IT IN PRODUCING HYBRID OR DIFFERENT VARIETY THEREFROM, TO THE EXTENT PROVIDED BY THE PLANT VARIETY PROTECTION

(84 STAT. 1542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

SOYBEAN

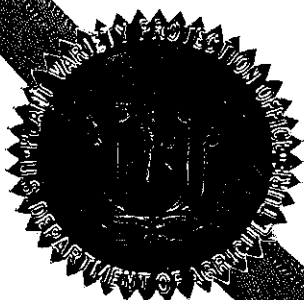
'9004'

In Testimony Whereof, I have herunto set my hand and caused the seal of the Plant Variety Protection Office to be affixed at the City of Washington, D.C. this thirtieth day of April in the year of our Lord one thousand nine hundred and ninety-eight.

Attest:

Thomas A. Salt
Acting Commissioner
Plant Variety Protection Office
Agricultural Marketing Service

John G. Dickman
Secretary of Agriculture



U.S. DEPARTMENT OF AGRICULTURE
AGRICULTURAL MARKETING SERVICE
SCIENCE DIVISION - PLANT VARIETY PROTECTION OFFICE

The following statements are made in accordance with the Privacy Act of 1974 (5 U.S.C. 552a).

Application is required in order to determine if a plant variety protection certificate is to be issued (7 U.S.C. 2421). Information is held confidential until certificate is issued (7 U.S.C. 2426).

APPLICATION FOR PLANT VARIETY PROTECTION CERTIFICATE

(Instructions and information collection burden statement on reverse)

| | | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------|
| 1. NAME OF APPLICANT(S) (as it is to appear on the Certificate) | | 2. TEMPORARY DESIGNATION OR EXPERIMENTAL NUMBER | 3. VARIETY NAME |
| Pioneer Hi-Bred International, Inc. <i>Agriculture Canada</i> <i>WMS 11/13/97</i> | | | 9004 |
| 4. ADDRESS (Street and No., or R.F.D. No., City, State, and ZIP Code, and Country) | | 5. TELEPHONE (include area code) | FOR OFFICIAL USE ONLY PVPO NUMBER 9600050 |
| 700 Capital Square 400 Locust St. Des Moines, IA 50309 | | 515/270-3582 | |
| 6. GENUS AND SPECIES NAME | | 6. FAX (include area code) | F I L I N G DATE NOV 22, 1995 |
| Glycine Max | | 515/253-2288 | PLANTING AND EXAMINATION FEE 2450.00 |
| 7. CROP KIND NAME (Common name) | | | DATE NOV. 22, 1995 |
| Soybean | | | CERTIFICATION FEE 300 |
| 10. IF THE APPLICANT NAMED IS NOT A "PERSON", GIVE FORM OF ORGANIZATION (corporation, partnership, association, etc.) (Common name) | | | DATE 4/07/98 |
| Corporation | | | |
| 11. IF INCORPORATED, GIVE STATE OF INCORPORATION | 12. DATE OF INCORPORATION | | |
| Iowa | 1926 | | |
| 13. NAME AND ADDRESS OF APPLICANT REPRESENTATIVE(S), IF ANY, TO SERVE IN THIS APPLICATION AND RECEIVE ALL PAPERS | | 14. TELEPHONE (include area code) | |
| John Grace 7300 NW 62nd Ave. PO Box 1004 Johnston, IA 50131-1004 | | 515/270-3582 | |
| Mike Roth (copy) 700 Capital Square 400 Locust St. Des Moines, IA 50309 | | 15. FAX (include area code) | |
| | | 515/253-2288 | |
| 16. CHECK APPROPRIATE BOX FOR EACH ATTACHMENT SUBMITTED (Follow instructions on reverse) | | | |
| <input checked="" type="checkbox"/> Exhibit A. Origin and Breeding History of the Variety <input checked="" type="checkbox"/> Exhibit B. Statement of Distinctness <input checked="" type="checkbox"/> Exhibit C. Objective Description of the Variety <input checked="" type="checkbox"/> Exhibit D. Additional Description of the Variety <input checked="" type="checkbox"/> Exhibit E. Statement of the Basis of the Applicant's Ownership <input checked="" type="checkbox"/> Voucher Sample (2,500 viable untreated seeds or, for tuber propagated varieties verification that tissue culture will be deposited and maintained in a public repository) <input checked="" type="checkbox"/> Filing and Examination Fee (\$2,450), made payable to "Treasurer of the United States" (Mail to PVPO) | | | |
| 17. DOES THE APPLICANT SPECIFY THAT SEED OF THIS VARIETY BE SOLD BY VARIETY NAME ONLY, AS A CLASS OF CERTIFIED SEED? (See Section 83(a) of the Plant Variety Protection Act)? | | | |
| <input type="checkbox"/> YES (If "yes," answer items 18 and 19 below) <input checked="" type="checkbox"/> NO (If "no," go to item 20) | | | |
| 18. DOES THE APPLICANT SPECIFY THAT SEED OF THIS VARIETY BE LIMITED AS TO NUMBER OF GENERATIONS? | | 19. IF "YES" TO ITEM 18, WHICH CLASSES OF PRODUCTION BEYOND BREEDER SEED? | |
| <input type="checkbox"/> YES <input type="checkbox"/> NO | | <input type="checkbox"/> FOUNDATION <input type="checkbox"/> REGISTERED <input type="checkbox"/> CERTIFIED | |
| 20. HAS THE VARIETY OR A HYBRID PRODUCED FROM THE VARIETY BEEN RELEASED, USED, OFFERED FOR SALE, OR MARKETING IN THE U.S. OR OTHER COUNTRIES? | | | |
| <input type="checkbox"/> YES (If "yes," give names of countries and dates) <input checked="" type="checkbox"/> NO | | | |
| 21. The applicant(s) declare that a viable sample of basic seed of the variety will be furnished with application and will be replenished upon request in accordance with such regulations as may be applicable, or for a tuber propagated variety a tissue culture will be deposited in a public repository and maintained for the duration of the certificate. | | | |
| The undersigned applicant(s) is/are the owner(s) of this sexually reproduced or tuber propagated plant variety, and believe(s) that the variety is new, distinct, uniform, and stable as required in Section 41, and is entitled to protection under the provisions of Section 42 of the Plant Variety Protection Act. | | | |
| Applicant(s) is/are informed that false representation herein can jeopardize protection and result in penalties. | | | |
| SIGNATURE OF APPLICANT (Owner(s)) | | SIGNATURE OF APPLICANT (Owner(s)) | |
| <i>D. John Grace III</i> | | | |
| NAME (Please print or type) | | NAME (Please print or type) | |
| D. John Grace III | | | |
| CAPACITY OR TITLE | DATE | CAPACITY OR TITLE | DATE |
| Soybean Research Coordinator | 11/16/95 | | |

Pioneer Hi-Bred Int'l, Inc.
PVP Application 9004 Soybean
May 2, 1995

EXHIBIT A

ORIGIN AND BREEDING HISTORY

Breeding History of 9004 Soybean

- 1981 (Spring) A cross was made between 'Maple Ridge' and 'Lakota' in Ontario, Canada
- 1981 (Summer) F1 grown out in Ontario, Canada
- 1981-82 (Winter) F2 grown out in New Zealand
- 1982 (Summer) F3 bulk grown in Ontario, Canada
- 1983 (Summer) F4 bulk yield tested in Ontario, Canada, individual plants selected
- 1984 (Summer) F4;F5 Individual selections grown in Ontario, Canada. Selection which became '9004' was advanced to yield test program
- 1985 (Summer) Yield tested in Ontario, Canada
- 1986 (Summer) Yield tested in Ontario, Canada
- 1987 (Summer) Yield tested in Ontario, Canada
- 1988 (Summer) Yield tested across 23 locations in Canada
- 1989 (Summer) Yield tested across 23 locations in Canada
- 1991 (Summer) Yield tested in Minnesota and North Dakota
- 1992 (Summer) Yield tested in Minnesota and North Dakota (tests: RFV0E000)
- 1993 (Summer) Grown in Yield trials in Minnesota and North Dakota (tests: RFV0E000, RFA0E000)
- 1994 (Summer) Grown in Yield trials in Minnesota and North Dakota (tests: RFA0E000, RFVXB004)
- 1995 Based on early maturity, high yield potential, and above average iron-deficiency chlorosis tolerance, the line was released as '9004'

Pioneer Hi-Bred Int'l, Inc.
PVP Application 9004 Soybean
May 2, 1995

EXHIBIT A

ORIGIN AND BREEDING HISTORY

Breeding History of 9004 Soybean (continued)

'9004' has undergone nine years of extensive yield testing and purification. It has been observed by the breeder to be uniform and stable for all plant traits from generation to generation, with no evidence of variants.

Four acres of 9004 (breeder's seed) were grown in 1993. Thirty-five acres of 9004 (foundation seed equivalent) were grown in 1994.

Pioneer Hi-Bred Int'l, Inc.
 PVP Application 9004 Soybean
 May 2, 1995

EXHIBIT B: NOVELTY STATEMENT CONCERNING 9004 SOYBEAN

To our knowledge, variety 9004 is most similar to 'KG40', 'KG41', 'KG60', 'Maple Glen', 'Maple Ridge', 'PS42', and 'Scorpio'. Each of these varieties has purple flowers, tawny pubescence, tan (yellow) hila color and falls within either the Group 000, 00, or 0 maturity groups. However each of these varieties exhibits an isozyme profile which differs from 9004 (Table 1).

Table 1. Isozyme profiles of 9004, KG40, KG41, KG60, Maple Glen, Maple Ridge, PS42, and Scorpio.

| Variety | Isozyme | | | | | | | | | | | |
|-------------|---------|------|------|-----|-----|-----|------|------|-----|-----|------|------|
| | ACO2 | ACO3 | ACO4 | ACP | DIA | ENP | IDH1 | IDH2 | MDH | MPI | PGM1 | PHI1 |
| 9004 | 2 | 1 | 1 | B | B | B | 1 | 2 | B | A | 2 | 1 |
| KG40 | 2 | 1 | 3 | B | A,B | B | 1 | 1 | A | A,B | 1 | 2 |
| KG41 | 2 | 1 | 3 | A | A | B | 2 | 1 | A | A | 2 | 2 |
| KG60 | 2 | 1 | 3 | A,B | B | B | 1 | 1 | A | A,B | 1 | 1,2 |
| Maple Glen | 1 | 1 | 3 | A | A | A | 2 | 1 | A | A | 2 | 2 |
| Maple Ridge | 2 | 1 | 3 | A | A | B | 1 | 1 | A,B | A | 2 | 2 |
| PS42 | 2 | 1 | 3 | A,B | B | A,B | 1 | 1,2 | A,B | A,B | 1,2 | 1,2 |
| Scorpio | 2 | 1 | 3 | A | A | B | 2 | 1 | B | A | 2 | 2 |

Key:

Aconitase: ACO2, ACO3, ACO4

Acid Phosphatase: ACP

Diaphorase: DIA

Endopeptidase: ENP

Isocitrate Dehydrogenase: IDH1, IDH2

Malate Dehydrogenase: MDH

Mannose 6-Phosphate Isomerase: MPI

Phosphoglucomutase: PGM

Phosphoglucose Isomerase: PHI

□

Pioneer Hi-Bred Int'l, Inc.
PVP Application 9004 Soybean
May 2, 1995

EXHIBIT B: NOVELTY STATEMENT CONCERNING 9004 SOYBEAN

(continued)

Other differences between '9004' and the comparison varieties are indicated below.

- KG40: 9004 matures 13 days earlier than KG40 (Table 2).
- KG41: 9004 matures 20 days earlier than KG41 (Table 3).
- KG60: 9004 matures 16 days earlier than KG60 (Table 4).
- Maple Glen: 9004 matures 10 days earlier than Maple Glen (Table 5).
- Maple Ridge: The oil from seeds of 9004 possesses a significantly higher percentage of linolenic acid than Maple Ridge (Table 6).
- PS42: 9004 matures 10 days earlier than PS42 (Table 7).
- Scorpio: The oil from seeds of 9004 possesses a significantly lower percentage of Stearic acid (18:0) than Scorpio (Table 8).

Pioneer Hi-Bred Int'l Inc.

PVP Application 9004 Soybean

May 2, 1995

Table 2. T-test comparison of 9004 versus KG40 for days to maturity. Days to Maturity is defined as the number of days from planting until 95% of the pods in the plot are physiologically mature. Plots were four 30 inch rows wide and approximately 15 feet long

| YEAR | LOC | REP | KG40 (X1) --- days to maturity --- | 9004 (X2) | X1-X2 | (X1-X2) ² | Ave X1 = | 120.6 |
|------|------|-----|---------------------------------------|-----------|-------|----------------------|-----------------------|-------------------------|
| | | | | | | | Ave X2 = | 107.4 |
| 1994 | 106D | 1 | 115 | 105 | 10 | 100 | d = (Ave X1 - Ave X2) | 13.3 |
| 1994 | 106D | 2 | 115 | 108 | 7 | 49 | n = | 8 groups of individuals |
| 1994 | 106D | 3 | 121 | 108 | 13 | 169 | | |
| 1994 | 106D | 4 | 128 | 113 | 15 | 225 | | |
| 1994 | 108B | 1 | 121 | 109 | 12 | 144 | | |
| 1994 | 108B | 2 | 121 | 103 | 18 | 324 | | |
| 1994 | 108B | 3 | 122 | 108 | 14 | 196 | | |
| 1994 | 108B | 4 | 122 | 105 | 17 | 289 | | |

| | | | | | | |
|--|--|------|-------|-------|----------|------|
| | | SUM | 965 | 859 | 106 | 1496 |
| | | MEAN | 120.6 | 107.4 | 13.3 = d | |

²/n

$$SE \text{ diff} = \sqrt{\frac{\sum (X1-X2)^2 - (\sum X1-X2)^2}{(n)(n-1)}}$$

$$SE \text{ diff} = \sqrt{\frac{1496 - ((106)^2/8)}{(8)(7)}}$$

| | |
|-------------------|-----------|
| SE diff = SQRT of | 1.634 |
| SE diff = | 1.278 |
| t = d/SE diff = | 10.366 |
| df = | 7 |
| Prob > t = | 0.0000169 |

Location Key:

106D : Redwood Falls, Minnesota

108B: Pipestone, Minnesota

Page 1

T4. 9004 vs KG60 (MAT)

| | | | | | | | | | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------|------|---------------------------------------|-----------|----------|----------------------|-----------------------|-------------------------|--|
| Pioneer Hi-Bred Int'l Inc, | | | | | | | | | |
| PVP Application 9004 Soybean | | | | | | | | | |
| May 2, 1995 | | | | | | | | | |
| Table 4. T-test comparison of 9004 versus KG60 for days to maturity. Days to Maturity is defined as the number of days from planting until 95% of the pods in the plot are physiologically mature. Plots were four 30 inch rows wide and approximately 15 feet long. | | | | | | | | | |
| YEAR | LOC | REP | KG60 (X1) --- days to maturity --- | 9004 (X2) | X1-X2 | (X1-X2) ² | Ave X1 = | 123.9 | |
| | | | | | | | Ave X2 = | 107.4 | |
| 1994 | 106D | 1 | 117 | 105 | 12 | 144 | d = (Ave X1 - Ave X2) | 16.5 | |
| 1994 | 106D | 2 | 128 | 108 | 20 | 400 | n = | 8 groups of individuals | |
| 1994 | 106D | 3 | 123 | 108 | 15 | 225 | | | |
| 1994 | 106D | 4 | 127 | 113 | 14 | 196 | | | |
| 1994 | 108B | 1 | 122 | 109 | 13 | 169 | | | |
| 1994 | 108B | 2 | 127 | 103 | 24 | 576 | | | |
| 1994 | 108B | 3 | 124 | 108 | 16 | 256 | | | |
| 1994 | 108B | 4 | 123 | 105 | 18 | 324 | | | |
| | | SUM | 991 | 859 | 132 | 2290 | | | |
| | | MEAN | 123.9 | 107.4 | 16.5 = d | | | | |
| Location Key: | | | | | | | | | |
| 106D : Redwood Falls, Minnesota | | | | | | | | | |
| 108B: Pipestone, Minnesota | | | | | | | | | |
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Pioneer Hi-Bred Int'l Inc.

PVP Application 9004 Soybean

May 2, 1995

Table 5. T-test comparison of 9004 vs. Maple Glen for days to maturity, 1992, 94 2-year analysis. Days to Maturity is defined as the number of days from planting until 95% of the pods in the plot are physiologically mature. Plots were four 30 inch rows wide and approximately 15 feet long.

Formula for Standard Error Calculations:

SE diff =

$$\sqrt{\frac{\sum (X1-X2)^2 - (\sum X1-X2)^2/n}{(n)(n-1)}}$$

| YEAR | LOC | REP | Maple Glen (X1) | 9004 (X2) | X1-X2 | (X1-X2) ² | |
|-------|------|------|--------------------------|-----------|-------|----------------------|-------------------------------------------------------------------------|
| | | | --- days to maturity --- | | | | 1992 ANALYSIS |
| 1992 | 101A | 1 | 117.0 | 111.0 | 6.0 | 36.00 | Ave X1 = 124.33 |
| 1992 | 104A | 1 | 127.0 | 112.0 | 15.0 | 225.00 | Ave X2 = 114.67 |
| 1992 | 106A | 1 | 129.0 | 121.0 | 8.0 | 64.00 | d = (Ave X1 - Ave X2) 9.67 |
| | | | | | | | SE diff = SQRT of 7.444 |
| 1992 | | SUM | 373.0 | 344.0 | 29.0 | 325.00 | SE diff = 2.728 |
| | | MEAN | 124.33 | 114.67 | 9.67 | =d | t = d/SE diff = 3.543 |
| | | n = | 3 groups of individuals | | | | df = 2 |
| | | | | | | | Prob > t = 0.0713 |
| | | | | | | | 1992 Standard Error Calculation: |
| | | | | | | | SE diff ₉₂ = $\sqrt{\frac{325 - ((29.0)^2/3)}{(3)(2)}}$ |
| 1994 | 106D | 1 | 106.0 | 105.0 | 1.0 | 1.00 | |
| 1994 | 106D | 2 | 117.0 | 108.0 | 9.0 | 81.00 | 1994 ANALYSIS |
| 1994 | 106D | 3 | 113.0 | 108.0 | 5.0 | 25.00 | Ave X1 = 117.50 |
| 1994 | 106D | 4 | 120.0 | 113.0 | 7.0 | 49.00 | Ave X2 = 107.38 |
| 1994 | 108B | 1 | 122.0 | 109.0 | 13.0 | 169.00 | d = (Ave X1 - Ave X2) 10.13 |
| 1994 | 108B | 2 | 119.0 | 103.0 | 16.0 | 256.00 | SE diff = SQRT of 3.90848 |
| 1994 | 108B | 3 | 121.0 | 108.0 | 13.0 | 169.00 | SE diff = 1.9770 |
| 1994 | 108B | 4 | 122.0 | 105.0 | 17.0 | 289.00 | t = d/SE diff = 5.121 |
| 1994 | | SUM | 940.0 | 859.0 | 81.0 | 1039.00 | df = 7 |
| | | MEAN | 117.50 | 107.38 | 10.13 | =d | Prob > t = 0.0013665819 |
| | | n = | 8 groups of individuals | | | | 1994 Standard Error Calculation: |
| | | | | | | | SE diff ₉₄ = $\sqrt{\frac{1039 - ((81)^2/8)}{(8)(7)}}$ |
| TOTAL | | SUM | 1313.0 | 1203.0 | 110.0 | 1364.00 | COMBINED 1992,94 ANALYSIS |
| | | MEAN | 119.36 | 109.36 | 10.00 | | Ave X1 = 119.36 |
| | | n = | 11 groups of individuals | | | | Ave X2 = 109.36 |
| | | | | | | | d = (Ave X1 - Ave X2) 10.00 |
| | | | | | | | SE diff = SQRT of 2.40000 |
| | | | | | | | SE diff = 1.549 |
| | | | | | | | t = d/SE diff = 6.455 |
| | | | | | | | df = 10 |
| | | | | | | | Prob > t = 0.0000730 |
| | | | | | | | Combined Standard Error Calculation: |
| | | | | | | | SE diff _{comb} = $\sqrt{\frac{1364 - ((110)^2/11)}{(11)(10)}}$ |

Location Key:

101A: Amenia, North Dakota

104A: Appleton, Minnesota

106A,D: Redwood Falls, Minnesota

108B: Pipestone, Minnesota

| Pioneer Hi-Bred Int'l Inc. | | | | | | | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------|------|-----------|-----------|----------|----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|
| PVP Application 9004 Soybean | | | | | | | |
| May 2, 1995 | | | | | | | |
| Table 7. T-test comparison of 9004 versus PS42 for days to maturity. Days to Maturity is defined as the number of days from planting until 95% of the pods in the plot are physiologically mature. Plots were four 30 inch rows wide and approximately 15 feet long. | | | | | | | |
| YEAR | LOC | REP | PS42 (X1) | 9004 (X2) | X1-X2 | (X1-X2) ² | |
| --- days to maturity --- | | | | | | | |
| 1994 | 106D | 1 | 115 | 105 | 10 | 100 | Ave X1 = 117.9 |
| 1994 | 106D | 2 | 117 | 108 | 9 | 81 | Ave X2 = 107.4 |
| 1994 | 106D | 3 | 112 | 108 | 4 | 16 | d = (Ave X1 - Ave X2) = 10.5 |
| 1994 | 106D | 4 | 114 | 113 | 1 | 1 | n = 8 groups of individuals |
| 1994 | 108B | 1 | 122 | 109 | 13 | 169 | $SE \text{ diff} = \sqrt{\frac{\Sigma (X1-X2)^2 - (\Sigma X1-X2)^2/n}{(n)(n-1)}}$ $SE \text{ diff} = \sqrt{\frac{1122 - ((84)^2/8)}{(8)(7)}}$ |
| 1994 | 108B | 2 | 122 | 103 | 19 | 361 | |
| 1994 | 108B | 3 | 123 | 108 | 15 | 225 | |
| 1994 | 108B | 4 | 118 | 105 | 13 | 169 | |
| | | SUM | 943 | 859 | 84 | 1122 | |
| | | MEAN | 117.9 | 107.4 | 10.5 = d | | |
| Location Key: | | | | | | | |
| 106D : Redwood Falls, Minnesota | | | | | | | |
| 108B: Pipestone, Minnesota | | | | | | | |
| | | | | | | | SE diff = SQRT of 4.286 |
| | | | | | | | SE diff = 2.070 |
| | | | | | | | t = d/SE diff = 5.072 |
| | | | | | | | df = 7 |
| | | | | | | | Prob > t = 0.00144 |

| | | | | | | | | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------|-----|--------------------------|-----------|-------|----------------------|------------------------------------------------------|------|-----------------------|
| Pioneer Hi-Bred Int'l Inc. | | | | | | | | | |
| PVP Application 9004 Soybean | | | | | | | | | |
| May 2, 1995 | | | | | | | | | |
| Table 8. T-test comparison of 9004 vs. Scorpio for percent Stearic acid (18:0). Stearic Acid is determined by HPLC and recorded as a percent of total palmitic, stearic, oleic, linoleic and linolenic acids. | | | | | | | | | |
| YEAR | LOC | REP | Scorpio (X1) | 9004 (X2) | X1-X2 | (X1-X2) ² | | | |
| | | | - percent stearic acid - | | | | Ave X1 = | 4.33 | |
| | | | | | | | Ave X2 = | 3.41 | |
| 1994 | 105A | 1 | 4.3 | 3.3 | 1.00 | 1.00 | d = (Ave X1 - Ave X2) | 0.92 | |
| 1994 | 105A | 2 | 4.3 | 3.3 | 1.00 | 1.00 | n = | 12 | groups of individuals |
| 1994 | 105A | 3 | 4.3 | 3.2 | 1.10 | 1.21 | $\frac{\sum (X1-X2)^2 - (\sum X1-X2)^2/n}{(n)(n-1)}$ | | |
| 1994 | 105A | 4 | 4.5 | 3.4 | 1.10 | 1.21 | | | |
| 1994 | 106D | 1 | 4.3 | 3.6 | 0.70 | 0.49 | | | |
| 1994 | 106D | 2 | 4.1 | 3.3 | 0.80 | 0.64 | | | |
| 1994 | 106D | 3 | 4.0 | 3.5 | 0.50 | 0.25 | $\frac{10.62 - ((11)^2/12)}{(12)(11)}$ | | |
| 1994 | 106D | 4 | 4.5 | 3.5 | 1.00 | 1.00 | | | |
| 1994 | 108B | 1 | 4.5 | 3.3 | 1.20 | 1.44 | | | |
| 1994 | 108B | 2 | 4.1 | 3.5 | 0.60 | 0.36 | | | |
| 1994 | 108B | 3 | 4.6 | 3.5 | 1.10 | 1.21 | $\frac{10.62 - ((11)^2/12)}{(12)(11)}$ | | |
| 1994 | 108B | 4 | 4.4 | 3.5 | 0.90 | 0.81 | | | |
| SUM | | | 51.9 | 40.9 | 11 | 10.62 | $\frac{10.62 - ((11)^2/12)}{(12)(11)}$ | | |
| MEAN | | | 4.33 | 3.408333 | 0.92 | = d | | | |
| Location Key: | | | | | | | | | |
| 105A: Wood Lake, Minnesota | | | | | | | | | |
| 106D : Redwood Falls, Minnesota | | | | | | | | | |
| 108B: Pipestone, Minnesota | | | | | | | | | |
| SE diff = SQRT of | | | | | | | 0.004 | | |
| SE diff = | | | | | | | 0.064 | | |
| t = d/SE diff = | | | | | | | 14.376 | | |
| df = | | | | | | | 11 | | |
| Prob > t = | | | | | | | 0.00000002 | | |

T6. 9004 vs M. Ridge for LLN

| | | | | | | | | | | | | | | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------|-----|------------------------------|---------------|----------|----------------------|------------------------------------------------------|----------|-----------------------|--|--|--|--|--|
| Pioneer Hi-Bred Int'l Inc. | | | | | | | | | | | | | | |
| PVP Application 9004 Soybean | | | | | | | | | | | | | | |
| May 2, 1995 | | | | | | | | | | | | | | |
| Table 6. T-test comparison of 9004 vs. Maple Ridge for percent linolenic acid (18:3). Linolenic Acid is determined by HPLC and recorded as a percent of total palmitic, stearic, oleric, linoleic and linolenic acid. | | | | | | | | | | | | | | |
| YEAR | LOC | REP | 9004 (X1) | M. Ridge (X2) | X1-X2 | (X1-X2) ² | Ave X1 = | 10.94 | | | | | | |
| | | | -- percent linolenic acid -- | | | | Ave X2 = | 9.15 | | | | | | |
| 1994 | 105A | 1 | 11.50 | 9.60 | 1.90 | 3.61 | d = (Ave X1 - Ave X2 | 1.79 | | | | | | |
| 1994 | 105A | 2 | 10.40 | 9.00 | 1.40 | 1.96 | n = | 12 | groups of individuals | | | | | |
| 1994 | 105A | 3 | 11.60 | 9.50 | 2.10 | 4.41 | | | | | | | | |
| 1994 | 105A | 4 | 11.20 | 9.60 | 1.60 | 2.56 | | | | | | | | |
| 1994 | 106D | 1 | 9.60 | 9.60 | 0.00 | 0.00 | | | | | | | | |
| 1994 | 106D | 2 | 10.90 | 10.10 | 0.80 | 0.64 | | | | | | | | |
| 1994 | 106D | 3 | 9.70 | 9.00 | 0.70 | 0.49 | | | | | | | | |
| 1994 | 106D | 4 | 11.50 | 9.00 | 2.50 | 6.25 | | | | | | | | |
| 1994 | 108B | 1 | 11.20 | 8.80 | 2.40 | 5.76 | | | | | | | | |
| 1994 | 108B | 2 | 11.20 | 7.80 | 3.40 | 11.56 | | | | | | | | |
| 1994 | 108B | 3 | 11.30 | 8.90 | 2.40 | 5.76 | | | | | | | | |
| 1994 | 108B | 4 | 11.20 | 8.90 | 2.30 | 5.29 | | | | | | | | |
| SUM | | | 131.30 | 109.80 | 21.50 | 48.29 | | | | | | | | |
| MEAN | | | 10.94 | 9.15 | 1.79 = d | | | | | | | | | |
| <div>Location Key:</div> <div>105A: Wood Lake, Minnesota</div> <div>106D : Redwood Falls, Minnesota</div> <div>108B: Pipestone, Minnesota</div> | | | | | | | | | | | | | | |
| | | | | | | | $\frac{\sum (X1-X2)^2 - (\sum X1-X2)^2/n}{(n)(n-1)}$ | | | | | | | |
| | | | | | | | SE diff = | 0.074 | | | | | | |
| | | | | | | | SE diff = | 0.272 | | | | | | |
| | | | | | | | t = d/SE diff = | 6.586 | | | | | | |
| | | | | | | | df = | 11 | | | | | | |
| | | | | | | | Prob > t = | 0.000039 | | | | | | |
| | | | | | | | SE diff = SQRT of | | | | | | | |
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U.S. DEPARTMENT OF AGRICULTURE
AGRICULTURAL MARKETING SERVICE
LIVESTOCK, MEAT, GRAIN & SEED DIVISION
PLANT VARIETY PROTECTION OFFICE
BELTSVILLE, MARYLAND 20705

EXHIBIT C
(Soybean)

OBJECTIVE DESCRIPTION OF VARIETY
SOYBEAN (*Glycine max* L.)

| | | |
|---------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|----------------------|
| NAME OF APPLICANT(S) Pioneer Hi-Bred International, Inc. | TEMPORARY DESIGNATION | VARIETY NAME 9004 |
| ADDRESS (Street and No., or R.F.D. No., City, State, and Zip Code) 700 Capital Square 400 Locust Street Des Moines, IA 50309 | FOR OFFICIAL USE ONLY PVPO NUMBER 9600050 | |

Choose the appropriate response which characterizes the variety in the features described below. When the number of significant digits in your answer is fewer than the number of boxes provided, place a zero in the first box when number is 9 or less (e.g.,). Starred characters ★ are considered fundamental to an adequate soybean variety description. Other characters should be described when information is available.

1. SEED SHAPE:



1 = Spherical (L/W, L/T, and T/W ratios = < 1.2)
3 = Elongate (L/T ratio > 1.2; T/W = < 1.2)

2 = Spherical Flattened (L/W ratio > 1.2; L/T ratio = < 1.2)
4 = Elongate Flattened (L/T ratio > 1.2; T/W > 1.2)

★ 2. SEED COAT COLOR: (Mature Seed)

1 = Yellow

2 = Green

3 = Brown

4 = Black

5 = Other (Specify) _____

3. SEED COAT LUSTER: (Mature Hand Shelled Seed)

1 = Dull ('Corsoy 79'; 'Braxton')

2 = Shiny ('Nebsoy'; 'Gasoy 17')

★ 4. SEED SIZE: (Mature Seed)

Grams per 100 seeds

★ 5. HILUM COLOR: (Mature Seed)

1 = Buff

2 = Yellow

3 = Brown

4 = Gray

5 = Imperfect Black

6 = Black

7 = Other (Specify) _____

★ 6. COTYLEDON COLOR: (Mature Seed)

1 = Yellow

2 = Green

★ 7. SEED PROTEIN PEROXIDASE ACTIVITY:

1 = Low

2 = High

★ 8. SEED PROTEIN ELECTROPHORETIC BAND:

1 = Type A (SP1^a)

2 = Type B (SP1^b)

★ 9. HYPOCOTYL COLOR:

1 = Green only ('Evans'; 'Davis')

2 = Green with bronze band below cotyledons ('Woodworth'; 'Tracy')

3 = Light Purple below cotyledons ('Beeson'; 'Pickett 71')

4 = Dark Purple extending to unifoliate leaves ('Hodgson'; 'Coker Hampton 266A')

★ 10. LEAFLET SHAPE:

1 = Lanceolate

2 = Oval

3 = Ovate

4 = Other (Specify) _____

11. LEAFLET SIZE:

☒ 21 = Small ('Amsoy 71'; 'A5312')
3 = Large ('Crawford'; 'Tracy')

2 = Medium ('Corsoy 79'; 'Gasoy 17')

12. LEAF COLOR:

☒ 21 = Light Green ('Weber'; 'York')
3 = Dark Green ('Gnome'; 'Tracy')

2 = Medium Green ('Corsoy 79'; 'Braxton')

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★ 13. FLOWER COLOR:

☒ 2

1 = White

2 = Purple

3 = White with purple throat

★ 14. POD COLOR:

☒ 2

1 = Tan

2 = Brown

3 = Black

★ 15. PLANT PUBESCENCE COLOR:

☒ 2

1 = Gray

2 = Brown (Tawny)

16. PLANT TYPES:

☒ 21 = Slender ('Essex'; 'Amsoy 71')
3 = Bushy ('Gnome'; 'Govan')

2 = Intermediate ('Amcor'; 'Braxton')

★ 17. PLANT HABIT:

☒ 3

1 = Determinate ('Gnome'; 'Braxton')

2 = Semi-Determinate ('Will')

3 = Indeterminate ('Nebsoy'; 'Improved Pelican')

★ 18. MATURITY GROUP:

☐ 0 ☒ 2

1 = 000

2 = 00

3 = 0

4 = I

5 = II

6 = III

7 = IV

8 = V

9 = VI

10 = VII

11 = VIII

12 = IX

13 = X

★ 19. DISEASE REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

BACTERIAL DISEASES:

★ ☐ 0 Bacterial Pustule (*Xanthomonas phaseoli* var. *sojensis*)★ ☐ 1 Bacterial Blight (*Pseudomonas glycinea*)★ ☐ 0 Wildfire (*Pseudomonas tabaci*)

FUNGAL DISEASES:

★ ☐ 1 Brown Spot (*Septoria glycines*)Frogeye Leaf Spot (*Cercospora sojina*)★ ☐ 0 Race 1 ☐ 0 Race 2 ☐ 0 Race 3 ☐ 0 Race 4 ☐ 0 Race 5 ☐ Other (Specify)☐ 0 Target Spot (*Corynespora cassicola*)☐ 0 Downy Mildew (*Peronospora trifoliorum* var. *manshurica*)☐ 0 Powdery Mildew (*Microsphaera diffusa*)★ ☐ 1 Brown Stem Rot (*Cephalosporium gregatum*)☐ 0 Stem Canker (*Diaporthe phaseolorum* var. *caulivora*)

19. DISEASE REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant) (Continued)

FUNGAL DISEASES: (Continued)

- ★ ☐ 1 Pod and Stem Blight (*Diaporthe phaseolorum* var. *sojae*)
- ☐ 1 Purple Seed Stain (*Cercospora kikuchii*)
- ☐ 1 Rhizoctonia Root Rot (*Rhizoctonia solani*)
- Phytophthora Rot (*Phytophthora megasperma* var. *sojae*)
- ★ ☐ 1 Race 1 ☐ 1 Race 2 ☐ 1 Race 3 ☐ 0 Race 4 ☐ 1 Race 5 ☐ 0 Race 6 ☐ 1 Race 7
- ☐ 1 Race 8 ☐ 1 Race 9 ☐ 1 Other (Specify) _____

VIRAL DISEASES:

- ☐ 1 Bud Blight (Tobacco Ringspot Virus)
- ☐ 1 Yellow Mosaic (Bean Yellow Mosaic Virus)
- ★ ☐ 1 Cowpea Mosaic (Cowpea Chlorotic Virus)
- ☐ 1 Pod Mottle (Bean Pod Mottle Virus)
- ★ ☐ 1 Seed Mottle (Soybean Mosaic Virus)

NEMATODE DISEASES:

- Soybean Cyst Nematode (*Heterodera glycines*)
- ★ ☐ 0 Race 1 ☐ 0 Race 2 ☐ 1 Race 3 ☐ 0 Race 4 ☐ Other (Specify) _____
- ☐ 0 Lance Nematode (*Hoplolaimus Colombus*)
- ★ ☐ 0 Southern Root Knot Nematode (*Meloidogyne incognita*)
- ★ ☐ 0 Northern Root Knot Nematode (*Meloidogyne Hapla*)
- ☐ 0 Peanut Root Knot Nematode (*Meloidogyne arenaria*)
- ☐ 0 Reniform Nematode (*Rotylenchulus reniformis*)
- ☐ OTHER DISEASE NOT ON FORM (Specify): _____

20. PHYSIOLOGICAL RESPONSES: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

- ★ ☐ 2 Iron Chlorosis on Calcareous Soil Moderately resistant
- ☐ Other (Specify) _____

21. INSECT REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

- ☐ 0 Mexican Bean Beetle (*Epilachna varivestis*)
- ☐ 0 Potato Leaf Hopper (*Empoasca fabae*)
- ☐ Other (Specify) _____

22. INDICATE WHICH VARIETY MOST CLOSELY RESEMBLES THAT SUBMITTED.

| CHARACTER | NAME OF VARIETY | CHARACTER | NAME OF VARIETY |
|-------------|-----------------|-----------------------|-----------------|
| Plant Shape | KG40 | Seed Coat Luster | 9231 |
| Leaf Shape | SCORP10 | Seed Size | McCall |
| Leaf Color | 9008 | Seed Shape | 9008 |
| Leaf Size | SCORP10 | Seedling Pigmentation | 9007 |
| | | | |

23. GIVE DATA FOR SUBMITTED AND SIMILAR STANDARD VARIETY: Paired Comparison Data

9600050

| VARIETY | NO. OF DAYS MATURITY | PLANT LODGING SCORE | CM PLANT HEIGHT | LEAFLET SIZE | | SEED CONTENT | | SEED SIZE G/100 SEEDS | NO. SEEDS/POD |
|----------------------------------------|----------------------|---------------------|-----------------|--------------|-----------|--------------|-------|-----------------------|---------------|
| | | | | CM Width | CM Length | % Protein | % Oil | | |
| 9004 Submitted | 109.3 | 2.1 | 60.2 | 5.6 | 9.0 | 42.8 | 20.7 | 15.8 | 3 |
| Maple Ridge Name of Similar Variety | 107.9 | 1.6 | 49.0 | 5.0 | 7.7 | 42.3 | 20.7 | 15.8 | 3 |

PUBLICATIONS USEFUL AS REFERENCE AIDS FOR COMPLETING THIS FORM:

1. Caldwell, B.E., ed. 1973. Soybeans: Improvement, Production, and Uses. Amer. Soc. Agron. Monograph No. 16.
2. Buttery, B.R. and R.I. Buzzell. 1968. Peroxidase activity in seeds of soybean varieties. Crop Sci., 8: 722-725.
3. Hymowitz, T. 1973. Electrophoretic analysis of SBTi-A₂ in the USDA soybean germplasm collection. Crop Sci., 13: 420-421.
4. Payne, R.C. and L.F. Morris. 1976. Differentiation of soybean cultivars by seedling pigmentation patterns. J. Seed Technol. 1: 1-19.

3800000

9004 PVP Application

EXHIBIT D.

In Exhibit C we have identified 9004 as susceptible to bacterial blight, brown spot, pod and stem blight, rhizoctonia root rot, bud blight, yellow mosaic, cowpea mosaic, pod mottle and seed mottle. This does not mean we consider 9004 to be worse than other varieties of similar maturity in reaction to these challenges. Rather, we have chosen to be conservative and have identified 9004 as "susceptible".

Variety 9004 is a mid group 00 variety. If group 00 maturities are divided into tenths, the relative maturity of 9004 is 004.

U.S. DEPARTMENT OF AGRICULTURE
AGRICULTURAL MARKETING SERVICE

The following statements are made in accordance with the Privacy Act of 1974 (5 U.S.C. 552a) and the Paperwork Reduction Act (PRA) of 1995.

Application is required in order to determine if a plant variety protection certificate is to be issued (7 U.S.C. 2421). Information is held confidential until certificate is issued (7 U.S.C. 2426).

EXHIBIT E
STATEMENT OF THE BASIS OF OWNERSHIP

| | | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------|------------------------------------------------|
| 1. Name Of Applicant(s) Pioneer Hi-Bred International, Inc. | 2. Temporary Designation Or Experimental Number | 3. Variety Name 9004 |
| 4. Address (Street and No., or R.F.D. No., City, State, and ZIP Code, and Country) 7100 NW 62nd Ave P.O. Box 1000 Johnston, Iowa 50131-1000 | 5. Telephone (include area code) 515-270-3582 | 6. Fax (include area code) 515-253-2288 |
| 7. PVPO Number | | |

8. Does the applicant own all rights to the variety? Mark an "X" in appropriate block. If no, Please explain. ☐ YES ☒ NO

Agriculture Canada has granted Pioneer Hi-Bred International, Inc. the right to process the forms and obtain PVP for them. Agriculture Canada will be listed as "Owner".

9. Is the applicant (individual or company) a U.S. national or U.S. based company? ☒ YES ☐ NO
If no, give name of country _____

10. Is the applicant the original owner? ☐ YES ☒ NO If no, please answer the following:

a. If original rights to variety were owned by individual(s), is (are) the original owner(s) a U.S. national(s)?
☐ YES ☐ NO If no, give name of country _____

b. If original rights to variety were owned by a company, is the original owner(s) a U.S. based company?
☐ YES ☒ NO If no, give name of country Canada

11. Additional explanation on ownership (If needed, use reverse for extra space):

PLEASE NOTE:

Plant variety protection can be afforded only to owners (not licensees) who meet one of the following criteria:

1. If the rights to the variety are owned by the original breeder, that person must be a U.S. national, national of a UPOV member country, or national of a country which affords similar protection to nationals of the U.S. for the same genus and species.
2. If the rights to the variety are owned by the company which employed the original breeder(s), the company must be U.S. based, owned by nationals of a UPOV member country, or owned by nationals of a country which affords similar protection to nationals of the U.S. for the same genus and species.
3. If the applicant is an owner who is not the original owner, both the original owner and the applicant must meet one of the above criteria.

The original breeder/owner may be the individual or company who directed final breeding. See Section 41(a)(2) of the Plant Variety Protection Act for definition.

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0581-0055. The time required to complete this information collection is estimated to average 10 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

The U.S. Department of Agriculture (USDA) prohibits discrimination in its programs on the basis of race, color, national origin, sex, religion, age, disability, political beliefs, and marital or familial status. (Not all prohibited bases apply to all programs.) Persons with disabilities who require alternative means for communication of program information (braille, large print, audiotope, etc.) should contact the USDA Office of Communications at (202) 720-5881 (voice) or (202) 720-7808 (TDD).

To file a complaint, write the Secretary of Agriculture, U.S. Department of Agriculture, Washington, D.C. 20250, or call 1-800-245-6340 (voice) or (202) 720-1127 (TDD). USDA is an equal employment opportunity employer.